



07-07-04

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PATENT
Attorney Docket No.: 132441

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter A. Simeone, et al.
Serial No.: 10/656,599
Filed: September 5, 2003
For: METHODS AND APPARATUS FOR
COOLING GAS TURBINE ENGINE
ROTOR ASSEMBLIES

Group No.: 3746
Examiner:

Mail Stop: AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

1. Transmitted herewith is:
- Preliminary Amendment (3 pgs.)
 - Amendment Transmittal (3 pgs., *in duplicate*)
 - Return post card

STATUS

2. Applicant
- ☒ claims small entity status.
☐ is other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING
✓ deposited with the United States Postal Service
EXPRESS MAIL, Post Office to Addressee, Label No.
EV 459194792 US, addressed to: Mail Stop:
AMENDMENT, Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.
Date: July 6, 2004

FACSIMILE
transmitted by facsimile to the Patent and
Trademark Office

Robert B. Reiser, Esq. 145,048

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) _____ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
_____ First month	\$ 110.00	\$ 55.00
_____ Second month	\$ 400.00	\$ 200.00
_____ Third month	\$ 920.00	\$ 460.00
_____ Fourth month	\$1,440.00	\$ 720.00
_____ Fifth month	\$1,960.00	\$ 980.00

Fee: \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

_____ An extension of _____ months has already been secured. The fee paid therefor \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____.

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL RATE FEE	ADDITIONAL RATE FEE
TOTAL INDEP.	MINUS		=	x \$9 = \$	x \$18 = \$
	MINUS		=	x \$42 = \$	x \$84 = \$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$130 = \$	+ \$280 = \$
				TOTAL ADDITIONAL FEE \$	TOTAL ADDITIONAL FEE \$

- (a) ☒ No additional fee for Claims is required

OR

- (b) ☐ Total additional fee for claims required \$

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$_____
- ☐ Charge Deposit Account No. 01-2384 the sum of \$_____.
- ☐ A duplicate of this transmittal is attached.


FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

- ☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. ☐ Other:


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 314/621-5070

Express Mail No. EV 459194792 US

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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: Art Unit: 3746

Serial No.: 10/656,599

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: Examiner:

Filed: September 5, 2003

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FOR COOLING GAS TURBINE
ENGINE ROTOR ASSEMBLIES

:
:

PRELIMINARY AMENDMENT

Mail Stop: AMENDMENT
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Please enter the following amendments prior to examination of this application.